



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/816,005	03/23/2001	Alastair J. Angwin	RSW920000160US1	5189

7590 05/19/2005

A. Bruce Clay  
IBM Corporation T81/503  
PO Box 12195  
Research Triangle Park, NC 27709

EXAMINER
----------

MANIWANG, JOSEPH R

ART UNIT	PAPER NUMBER
----------	--------------

2144

DATE MAILED: 05/19/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

**Application No.**

09/816,005

**Applicant(s)**

ANGWIN ET AL.

**Examiner**

Joseph R. Maniawang

**Art Unit**

2144

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 11/23/04.
- 2a) ☒ This action is FINAL. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1,2,4-11,13-19 and 21-23 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,2,4-11,13-19 and 21-23 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- ☒ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other: \_\_\_\_\_

### **DETAILED ACTION**

1. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

#### ***Claim Rejections - 35 USC § 102***

2. Claims 1 -21 are rejected under 35 U.S.C. 102(e) as being anticipated by Douvikas et al. (U.S. Pat. No. 6,633,311), hereinafter referred to as Douvikas.
3. Regarding claims 1, 10, and 19, Douvikas disclosed a method and system for providing personal data to a recipient comprising providing a personal data object (see column 8, lines 7-17), wherein the personal data object includes personal data (see column 8, lines 52-53) and a template with embedded code for generating a personal data output (see column 13, lines 15-22); receiving a credential for the recipient (see column 9, lines 21-56); activating the embedded code in the template to dynamically generate a personal data output based on the at least one credential (see column 10, lines 58-64; column 13, lines 15-17); and delivering the personal data output to the recipient (see column 8, lines 14-17; column 10, lines 48-51).
4. Regarding claims 2, 11, and 22, Douvikas disclosed the credential ("ecardfile Members") comprising an e-mail address (see column 9, lines 40-43).
5. Regarding claims 4, 13, and 21, Douvikas disclosed the personal data object comprising a Java class and Java server page (see column 12, lines 5-6, 15-27; column 13, lines 1-6).

Art Unit: 2144

6. Regarding claims 5, 14, and 23, Douvikas disclosed the personal data output comprising an electronic business card (see column 2, lines 18-22; column 3, lines 56-61).
7. Regarding claims 6 and 15, Douvikas disclosed delivering the personal data output to the recipient comprising attaching the personal data output to an e-mail message (see column 10, lines 46-57).
8. Regarding claims 7 and 16, Douvikas disclosed the method performed by a client device (see column 5, lines 11-23).
9. Regarding claims 8 and 17, Douvikas disclosed the client device as a computer, PDA, telephone device, or pager (see column 13, line 64 through column 14, line 3).
10. Regarding claims 9 and 18, Douvikas disclosed the method performed by a server (see column 4, lines 1-22).

### ***Response to Arguments***

11. Applicant's arguments filed 11/23/04 have been fully considered but they are not persuasive.
12. Regarding claims 1-21 rejected under 35 U.S.C. 102(e) as being anticipated by Douvikas et al. (U.S. Pat. No. 6,633,31), hereinafter referred to as Douvikas, Applicant asserts that Douvikas does not teach or suggest a personal data object that includes a template with embedded code that may be activated to generate personal data. Examiner submits that this broadly claimed limitation is undoubtedly taught by Douvikas, where it was disclosed that an electronic business card containing personal

Art Unit: 2144

contact information was provided to an e-mail recipient (see column 8, lines 7-17, 52-53), the card displayed using a template with embedded tags replaced by customized data (see column 13, lines 15-22).

13. Applicant further asserts that Douvikas does not teach a personal data object comprising a signed Java class, a Java server page, and a text file with fields replace by JavaScript code. In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., a personal data object comprising a signed Java class, a Java server page, and a text file with fields replace by JavaScript code) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). Instead, it is noted that the claims recite a "personal data object [comprising] at least one of a signed Java class, a Java server page, and a text file with fields replaced by JavaScript code", thus requiring only one of the elements to be disclosed by the reference in order for it to read on the limitations claimed. As recited above, Douvikas discloses a personal data object comprising a Java class and a Java server page, as it was disclosed that displayed HTML pages, such as the personal data objects returned to a requester (see column 12, lines 65-67), were implemented in Java and used a Java server page (see column 12, lines 5-6, 15-27; column 13, lines 1-6).

### ***Conclusion***

Art Unit: 2144

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Douvikas et al. (U.S. Pat. No. 6,889,213)

Friend (U.S. Pat. No. 6,728,757)

Douvikas et al. (U.S. Pat. No. 6,691,158)

Porter (U.S. Pat. No. 6,533,171)

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joseph R. Maniwang whose telephone number is (571) 272-3928. The examiner can normally be reached on Mon-Fri 8:00-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David A. Wiley can be reached on (571) 272-3923. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Art Unit: 2144

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

JM

  
**DAVID WILEY**  
**SUPERVISORY PATENT EXAMINER**  
**TECHNOLOGY CENTER 2100**